

**BROUILLETTE KOSIE**

PATENT AND TRADE MARK AGENTS

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**GROUP 1600**#16  
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Date: January 7, 2003  
To: Sandra Wegert  
Company: USPTO

Fax №: 1-703-308-4242  
File №: 06447-003-US-02

From: Ronald S. Kosie

Operator: Ramla Chergui  
Extension: 3530

Number of pages including this cover sheet:

The original document: will follow by mail:  
will follow by courier:  
will be kept in our file: X

**COMMENTS:**

Application No: 09/725,906  
Applicant: Lisa MCKERRACHER  
Attorney docket No.: 06447-003-US-02

**OFFICIAL****PLEASE CONFIRM SAFE RECEIPT BY RETURN FAX, THANK YOU****CONFIDENTIALITY**

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1100 René-Lévesque Blvd. West, 25<sup>th</sup> Floor, Montréal (Québec) CANADA H3B 5C9  
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**BROUILLETTE****KOSIE****PRINCE**

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*Direct line: (514) 397-6942*  
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January 7, 2003

**BY FAX (703) 415-308-4242**

Att. of Mrs. Sandra Wegert  
 Commissioner of Patents and Trademarks  
 United States Patent and Trademark Office  
 Washington D.C., 20231, USA

*Agents de brevets  
 et marques de commerce*  
*Patent and  
 Trade Mark Agents*

Re : US patent application No.: 09/725,906  
 Title : Method For Making And Delivering Rho-antagonist Tissue  
           Adhesive Formulations To The Injured Mammalian Central  
           And Peripheral Nervous Systems And Uses Thereof  
 Applicant : McKerracher, Lisa  
 Our file : 06447-003-US-02

Dear Mrs. Wegert,

As per our telephone discussion of December 16, 2002, please find enclosed a further copy of the amendment filed August 2, 2001, which deleted sequences and sequence listing from the above mentioned patent application. You will also find enclosed a stamped post-card acknowledging receipt of these amendments at the USPTO on August 3, 2001.

Please confirm that these amendments have been entered.

Yours very truly,

Ronald S. Kosie, reg. No. 28,814  
 BROUILLETTE KOSIE PRINCE  
 1100 René-Lévesque Boulevard West  
 25th Floor  
 Montreal, Quebec, Canada, H3B 5C9

Encl.: Stamped post-card acknowledging receipt of response dated August 2, 2001  
 Copy of response dated August 2, 2001 including:

Petition for extension of time

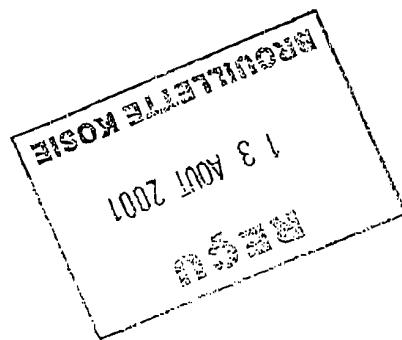
Initial drawings (i.e. figures 1A, 1B, 2, 3, 4a, 4b, 4c, 4d, 5a, 5b, 5c, 6A, 6B, 7A, 7b, 7C, 8 and 9) with amendments outlined generally in red  
 New formal drawings (i.e. figures 1A, 1B, 2, 3, 4A, 4B, 4C, 4D, 5A, 5B, 5C, 6A, 6B, 7A, 7B, 7C, 8 and 9)

Declaration for patent application and appointment of attorney  
 Copy of USPTO notice





BROUILLETTE KOSIE  
RSK/gb  
1100 boul. René-Lévesque Ouest,  
25e étage  
Montréal, Québec  
Canada H3B 5C9



RE: Office Letter dated March 6<sup>th</sup>, 2001  
 TITLE: METHODS FOR MAKING AND DELIVERING RHO-  
 ANTAGONIST TISSUE ADHESIVE FORMULATIONS TO  
 THE INJURED MAMMALIAN CENTRAL AND PERIPHERAL  
 NERVOUS SYSTEMS AND USES THEREOF  
 APPLICANT/INVENTOR: MCKERRACHER, Lisa

FILED: November 30<sup>th</sup>, 2000

SERIAL NO.: 09/725,906

GROUP ART UNIT: 1615

ATTORNEY DOCKET NO: 06447-003-US-02

Encls: Petition for extension of time

Initial drawings (i.e. figures 1A, 1B, 2, 3, 4a, 4b, 4c, 4d, 5a, 5b, 5c, 6A, 6B, 7A, 7B, 7C, 8 and 10) with amendments outlined generally in red  
 New formal drawings (i.e. figures 1A, 1B, 2, 3, 4A, 4B, 4C, 4D, 5A, 5B, 5C, 6A, 6B, 7A, 7B, 7C, 8 and 9)

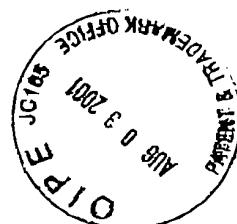
Declaration for patent application and appointment of attorney

Copy of USPTO notice

Confirmation receipt post card

August 2, 2001

U.S. PTO hereby acknowledges receipt of the following on the  
 date stamped hereon:



Panader 6G 8-6-00 + 28 -0 ,

PLR/JW



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
 UNITED STATES PATENT AND TRADEMARK OFFICE  
 WASHINGTON, D.C. 20231  
[www.uspto.gov](http://www.uspto.gov)

APPLICATION NUMBER	FILING RECEIPT DATE	FILED NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/725,906	11/30/2000	Lisa McKerracher	06447-003-US-2

CONFIRMATION NO. 9776

## FORMALITIES LETTER



OC000000005831025

Ronald S. Kosie  
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 25th Floor  
 1100 Rene-Levesque Boulevard West  
 Montreal, QC H3B 5C9  
 CANADA

Date Mailed: 03/06/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

The application is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given TWO MONTHS from the date of this Notice within which to correct the informalities indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawings submitted to the Office are not electronically reproducible. Drawing sheets must be submitted on paper which is flexible, strong, white, smooth, non-shiny, and durable (see 37 CFR 1.84(e));
- This application does not contain a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). Applicant must provide such statement. If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000).

- A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 C.F.R. 1.821(e). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825 (b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

**For questions regarding compliance to these requirements, please contact:**

- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600
- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov

*A copy of this notice MUST be returned with the reply.*

*Initial Patent Examination Division*  
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE